

PATENT  
ATTORNEY DOCKET NO.: GENE1400-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Zhang and Hofmann Art Unit: Unassigned  
Continuation Application filed: September 27, 2001 Examiner: Unassigned  
Application No. Unassigned  
Parent Application No.: 09/352,818  
Parent Filing Date: July 13, 1999



Title: **METHOD AND APPARATUS FOR ELECTRICALLY  
ASSISTED TOPICAL DELIVERY OF AGENTS FOR  
COSMETIC APPLICATIONS**

**BOX PATENT APPLICATION**

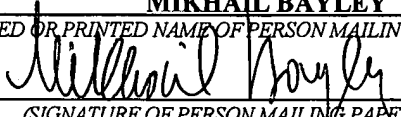
Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. § 1.97, Applicants bring to the Examiner's attention the related parent patent application, U.S. Serial No. 09/352,818, filed July 13, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Applicants respectfully request that the references supplied in compliance with 37 C.F.R. § 1.97 in the above-referenced parent application, as well as those cited during its examination, be made of record. For the convenience of the Examiner, enclosed are copies of Form PTO-1449 and Form PTO-892 listing the references cited by the Examiner or cited in an Information Disclosure Statement filed by the Applicants. Copies of the documents will be found in the above-referenced parent application.

"EXPRESS MAIL" Mailing Label Number: <b>EL617035861US</b>
Date of Deposit: <b>September 27, 2001</b>
I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231
<b>MIKHAIL BAYLEY</b>
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

In Re Application of:  
Zhang and Hofmann

PATENT  
Attorney Docket No.: GENE1400-2

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It is respectfully requested that the parent application and the references cited therein be considered in the examination of this application and that their consideration be made of written record in the application file.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1355.

Respectfully submitted,

Date: September 27, 2001



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<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office	Docket No. GENE1400-2	Serial No.: Unassigned	Jc872 U.S. PTO 09/966390  09/27/01
	Applicant(s): Zhang and Hofmann		
<b>SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE STATEMENT BY APPLICANT</b>	Filing Date: September 26, 2001	Group Art Unit: Unassigned	

### U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	6,002,961	12/14/99	Mitragotri et al.			
	AB	6,001,617	12/14/99	Raptis			
	AC	5,995,869	11/30/99	Cormier et al.			
	AD	5,994,127	11/30/99	Selden et al.			
	AE	5,993,434	11/30/99	Dev, et al.			
	AF	5,983,131	11/09/99	Weaver, et al.			
	AG	5,980,517	11/09/99	Gough			
	AH	5,968,006	10/19/99	Hofmann			
	AI	5,964,726	10/12/99	Korenstein et al.			
	AJ	5,944,715	08/31/99	Goble et al.			
	AK	5,911,223	06/15/99	Weaver, et al.			
	AL	5,908,753	06/01/99	Kelly et al.			
	AM	5,879,891	03/09/99	Thompson			
	AN	5,874,268	02/23/99	Meyer			
	AO	5,873,849	02/23/99	Bernard			
	AP	5,869,326	02/09/99	Hofmann			
	AQ	5,868,740	02/09/99	LeVeen et al.			
	AR	5,845,646	12/08/98	Lemelson			
	AS	5,814,599	09/29/98	Mitragotri et al.			
	AT	5,810,762	09/22/98	Hofmann			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office	<b>Docket No.</b> GENE1400-2	<b>Serial No.:</b> Unassigned
	<b>Applicant(s):</b> Zhang and Hofmann	
<b>SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> September 26, 2001	<b>Group Art Unit:</b> Unassigned

	AU	5,807,308	09/15/98	Edwards			
	AV	5,807,309	09/15/98	Lundquist et al.			
	AW	5,789,213	08/04/98	Hui, et al.			
	AX	5,786,454	07/28/98	Waksman et al.			
	AY	5,780,052	07/14/98	Khaw et al.			
	AZ	5,749,847	05/12/98	Zewert et al.			
	BA	5,720,921	02/24/98	Meserol			
	BB	5,718,702	02/17/98	Edwards			
	BC	5,702,359	12/30/97	Hofmann			
	BD	5,674,267	10/07/97	Mir et al.			
	BE	5,667,491	09/16/97	Pliquett et al.			
	BF	5,554,110	09/10/96	Edwards et al.			
	BG	5,547,467	08/20/96	Pliquett et al.			
	BH	5,536,267	07/16/96	Edwards et al.			
	BI	5,507,802	04/16/96	Imran			
	BJ	5,507,724	04/16/96	Hofmann et al.			
	BK	5,468,223	12/21/95	Mir			
	BL	5,439,440	08/08/95	Hofmann			
	BM	5,425,752	06/20/95	Vu'Nguyen			
	BN	5,389,069	02/14/95	Weaver			
	BO	5,328,451	07/12/94	Davis et al.			
	BP	5,304,120	04/19/94	Crandell et al.			
	BQ	5,273,525	12/28/93	Hofmann			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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	<b>Applicant(s):</b> Zhang and Hofmann	
<b>SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> September 26, 2001	<b>Group Art Unit:</b> Unassigned

	BR	5,215,088	06/01/93	Normann et al.			
	BS	5,058,605	10/22/91	Slovak			
	BT	4,907,601	03/13/90	Frick			
	BU	4,262,672	04/21/81	Kief			


### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	BV	WO99/52424	21.10.99	PCT			
	BW	WO99/44678	10.09.99	PCT			
	BX	WO97/07826	06.03.97	PCT			
	BY	0 378 132 A2	05.01.90	EPO			
	BZ	0 378 132 A3	05.01.90	EPO			
	CA	DE 40 00 893 A1	15.01.90	Germany			
	CB	863 111	15.01.53	Germany			

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EXAMINER	DATE CONSIDERED
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<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office	<b>Docket No.</b> GENE1400-2	<b>Serial No.:</b> Unassigned	jc812 U.S. PTO 09/966390  09/27/01
	<b>Applicant(s):</b> Zhang and Hofmann		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> September 27, 2001	<b>Group Art Unit:</b> Unassigned	

### U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
		4,474,570	10/02/84	Ariura et al.			
		3,163,166	04/28/61	Brant et al.			
		5,042,975	08/27/91	Chien et al.			
		5,445,611	08/29/95	Eppstein et al.			
		5,658,247	08/19/97	Henley			
		5,464,386	011/07/95	Hofmann			
		5,250,023	10/05/93	Lee et al.			
		5,358,483	10/25/94	Sibalis			
		5,019,034	05/28/91	Weaver et al.			

### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (YES/NO)

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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<b>Notice of References Cited</b>	Application No. 09/352,818	Applicant(s) Lei Zhang et al	
	Examiner Bockelman	Group Art Unit 3763	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A		3,163,166	12-29-64	J. H Brant et al	—	—
B		4,474,570	10-2-1984	Ariura et al	—	—
C		5,042,975	8-27-1991	Chien et al	—	—
D		5,019,034	5-28-91	Weaver et al	—	—
E		5,108,363	4-28-1992	Tuttle et al	—	—
F		5,135,478	8-4-1992	Sibalis	—	—
G		5,224,928	7-6-1993	Sibalis et al	—	—
H		5,250,023	10-5-1993	Lee et al	—	—
I		5,279,544	1-18-1994	Gross et al	—	—
J		5,358,483	10-25-1994	Sibalis	—	—
K		5,445,611	8-29-1995	Eppstein et al	—	—
L		5,464,386	11-7-1995	Hofmann	—	—
M		5,658,247	8-19-1997	Henley	—	—

**FOREIGN PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N							
O							
P							
Q							
R							
S							
T							

**NON-PATENT DOCUMENTS**

*		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U			
V			
W			
X			

\* A copy of this reference is not being furnished with this Office action.  
(See Manual of Patent Examining Procedure, Section 707.05(a).)

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09/966390

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